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IRAH DONNER	#35,120	(Depositor's name)
<i>Irah Donner</i>		(Signature)
10/2/07		(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/696,765	10/25/2000	Bob Lamoureux	W0001-006001	3130

TITLE OF INVENTION: ELECTRONIC SALES SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	10/12/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
BUCHANAN, CHRISTOPHER R	3627	705-034000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 Irah H. Donner
 2 Wilmer Cutler Pickering
 3 Hale and Dorr LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Thomson Financial Inc.

New York, NY

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

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☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 08-0219 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

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Date

10/2/07

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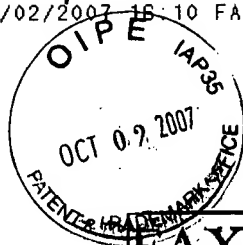
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Registration No.

35,120

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10/2/07

PTO IDENTIFIER: Application Number 09/696,765-Conf. #3130
Patent Number**Inventor:** John B. CONSTANTINE et al.**MESSAGE TO:** Office of Patent Publication**FAX NUMBER:** (571) 273-2885**FROM:** WILMER CUTLER PICKERING HALE AND DORR LLP

Irah H. Donner

PHONE: (212) 230-8800**Attorney Dkt. #:** 0026119.0136DUS1**PAGES (Including Cover Sheet):** 7**CONTENTS:**Certificate of Transmission (1 page)
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Response to Examiner's Reasons for Allowance (3 pages)

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Application No. (if known): 09/696,765

Attorney Docket No.: 0026119.0136DUS1

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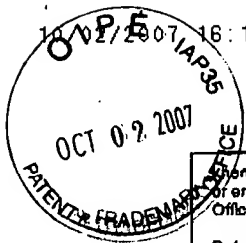
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TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	09/696,765-Conf. #3130	
	Filing Date	October 25, 2000	
	First Named Inventor	John B. CONSTANTINE	
	Art Unit	3627	
	Examiner Name	C. R. Buchanan	
Total Number of Pages In This Submission	5	Attorney Docket Number	0026119.0136DUS1

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Issue Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks Response to Examiner's Reasons for Allowance		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	WILMER CUTLER PICKERING HALE AND DORR LLP	
Signature		
Printed name	Ira H. Donner	
Date	10/2/07	Reg. No. 35,120

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Dated: 10/2/07	Signature:	(Ira H. Donner)



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(Irah H. Donner)

Docket No.: 0026119.0136DUS1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J. B. CONSTANTINE et al. Confirmation No.: 3130
Application No.: 09/696,765 Art Unit: 3627
Filed: October 25, 2000 Examiner: C. R. Buchanan
Title: ELECTRONIC SALES SYSTEM

MS Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO EXAMINER'S REASONS FOR ALLOWANCE

Dear Sir:

Applicants substantially agree with the Examiner's reasons for allowance in the Office Action, subject to the comments herein. Applicants would like to emphasize, and assumes that the Examiner intended to so state, that the combination of elements in each of the allowed claims, independent and dependent, are patentably distinguishable over the prior art when each claim is interpreted as a whole.

Applicants provide no opinion with respect to interpreting the references cited by the Examiner, and therefore, do not concede to the Examiner's interpretation of same, as permitted under 37 C.F.R. Section 1.104(e), particularly since the Examiner does not respond to an Applicant's Response to Reasons for Allowance. Applicants would like to clarify that the only interpretation that the Applicants will accept or agree with is the interpretation that one of ordinary skill in the art would understand from the prior art references.

6382858



Application No.: 09/696,765

Docket No.: 26119.136DUS1

Applicants strongly emphasize that one reviewing the prosecution history should not interpret any of the examples Applicant has described herein in connection with distinguishing over the prior art as limiting to those specific features in isolation. Rather, the Applicants assert that it is the combination of elements recited in each of the claims, when each claim is interpreted as a whole, which is patentable. Applicants have emphasized certain features in the claims as clearly not present in the cited references, as discussed above. However, Applicants do not concede that other features in the claims are found in the prior art. Rather, for the sake of simplicity, the Applicants are providing examples of why the claims described above are distinguishable over the cited prior art.

Applicants wish to clarify for the record, if necessary, that the claims have been amended to expedite prosecution. Moreover, the Applicants reserve the right to pursue the original subject matter recited in the present claims in a continuation application.

Further, the Applicants hereby retract any arguments and/or statements made during prosecution that were rejected by the Examiner during prosecution and/or that were unnecessary to obtain allowance, and only maintains the arguments that persuaded the Examiner with respect to the allowability of the patent claims, as one of ordinary skill would understand from a review of the prosecution history. That is, the Applicants specifically retract statements that one of ordinary skill would recognize from reading the file history were not necessary, not used and/or were rejected by the Examiner in allowing the patent application.

Any narrowing amendments made to the claims in the present Amendment are not to be construed as a surrender of any subject matter between the original claims and the present claims; rather merely the Applicants' best attempt at providing one or more definitions of what the Applicants believe to be suitable patent protection. In addition, the present claims provide the intended scope of protection that the Applicants are seeking for this application. Therefore,

Application No.: 09/696,765

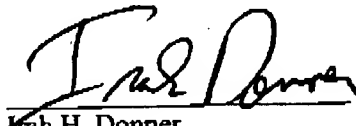
Docket No.: 26119.136DUS1

no estoppel should be presumed, and the Applicants claims are intended to include a scope of protection under the Doctrine of Equivalents.

Respectfully submitted,

Dated:

10/2/07


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